

Electronic Acknowledgement Receipt

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Application Number:	10001900
International Application Number:	
Confirmation Number:	8995
Title of Invention:	Balancing arbitragable tracking securities
First Named Inventor/Applicant Name:	Steven M. Bloom
Customer Number:	26161
Filer:	Denis G. Maloney/Marie Collins
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File Listing:

Document Number	Document Description	File Name	File Size(Bytes)	Multi Part /.zip	Pages (if appl.)
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1		reply09857_073001.pdf	3053579	yes	15
	Multipart Description/PDF files in .zip description				
	Document Description		Start	End	
	Amendment - After Non-Final Rejection		1	1	
	Claims		2	7	
	Applicant Arguments/Remarks Made in an Amendment		8	15	
Warnings:					
Information:					
2	Extension of Time	ext09857_073001.pdf	133024	no	1
Warnings:					
Information:					
3	Information Disclosure Statement (IDS) Filed	ids09857_073001.pdf	646290	no	3
Warnings:					
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4	NPL Documents	NPL-1.pdf	773112	no	10
Warnings:					
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5	NPL Documents	NPL-2.pdf	158342	no	3
Warnings:					
Information:					
6	NPL Documents	NPL-3.pdf	288333	no	3
Warnings:					
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7	NPL Documents	NPL-4.pdf	169344	no	3
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Information:					

8	NPL Documents	NPL-5.pdf	152408	no	3
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Information:					
9	NPL Documents	NPL-6.pdf	152310	no	3
Warnings:					
Information:					
10	NPL Documents	NPL-7.pdf	461879	no	6
Warnings:					
Information:					
11	NPL Documents	NPL-8.pdf	147837	no	4
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12	NPL Documents	NPL-9.pdf	698905	no	11
Warnings:					
Information:					
13	NPL Documents	NPL-10.pdf	227729	no	4
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14	NPL Documents	NPLI-11.pdf	129035	no	2
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15	NPL Documents	NPL-12.pdf	1021524	no	12
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16	NPL Documents	NPL-13.pdf	195103	no	3
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17	NPL Documents	NPL-14.pdf	725561	no	10
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18	NPL Documents	NPL-15.pdf	175699	no	4
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19	Fee Worksheet (PTO-06)	fee-info.pdf	8559	no	2
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New International Application Filed with the USPTO as a Receiving Office

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